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FAX COVER SHEET

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MESSAGE: Notice of Appeal and filing papers for 09/176,077 as requested.

FROM: ROBERT A. VOIGT, JR.

PHONE NO.:

512.370.2832

DATE: JULY 12, 2004

TIME IN:

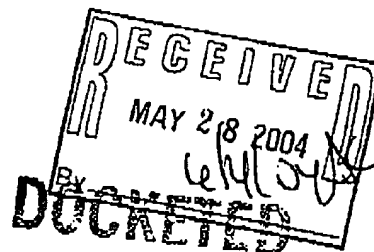
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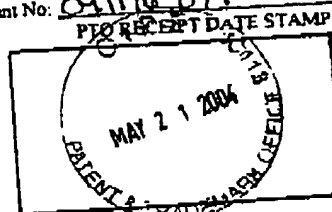
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DOCKET NO. CAA-98-038 CLIENT (Ref.) 7036-P285US ATTY/PRLG RV/sh
 Inv/Applicant: R.A. Green TITLE Web-Based File Review System...
 App'l/Grant No. 09176-077

☐ New Patent Application ☒ Transmittal Letter
☐ App'l Data Sheet ☒ Fee Transmittal
☐ Provisional ☐ Check(s) - \$
☐ Prov Cvr Sheet ☐ Issue Fee ☐ Check - \$
☐ Utility ☐ Maintenance Fee Transmittal
☐ CONT ☐ Check - \$
☐ CIP / DIV ☐ PCT Request
☐ CPA / RCE ☐ PCT Chapter II Demand
☐ Plant ☐ PCT Fee Calculation Sheet
☐ Design ☒ APPEAL ☐ APPEAL BRIEF ☐ REPLY BRIEF
☐ PCT- ☐ Petition-Extension of Time - Months ☐ Check - \$
☐ Drawing(s) Sheets ☐ PETITION
☐ Gene Sequence ☐ FORM
☐ Computer Readable ☐ FORM
☐ Paper Copy ☐ Response to FORM
☐ Declaration ☒ Response/Amendment 1.116 Supplemental
☐ Power of Attorney ☐ Resp. to Notice: Non-Compliant App'l / Missing Parts / Inv To Correct Defects
☐ PCT - Original / Copy ☐ Request for Correction
☐ Non-Publication Request ☐ OTHER:
☐ IDS, PTO/SB/O&A-B. # Ref: 6/11/04 DUE 6/11/04 MAILED 5/19/04
☐ Assignment - \$
☐ Assignment Cvr Sheet OR ☒ Certificate of Mailing
☐ Mailed Via EXPRESS MAIL No.



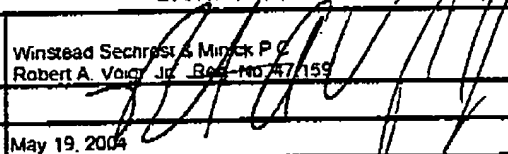
PTO/SB/21 (08-03)
Approved for use through 08/30/2003 OMB 0651-0031
U.S. Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE

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TRANSMITTAL FORM (to be used for all correspondence after initial filing)	Application Number	09/176,077	
	Filing Date	October 20, 1998	
	First Named Inventor	R. A. Green	
	Art Unit	2176	
	Examiner Name	William Bashore	
Total Number of Pages in This Submission	6	Attorney Docket Number	CAS-98-038


ENCLOSURES (Check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input checked="" type="checkbox"/> Amendment/Reply <input checked="" type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation <input type="checkbox"/> Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance communication to Technology Center (TC) <input checked="" type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input type="checkbox"/> Other Enclosure(s) (please identify below).
Remarks		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	Winstead Secrest & Minick P.C. Robert A. Voigt Jr. Reg. No. 47,159
Signature	
Date	May 19, 2004

CERTIFICATE OF TRANSMISSION/MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.

Typed or printed name	Serena Beller
Signature	
Date	May 19, 2004

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FEE TRANSMITTAL for FY 2004

Effective 10/01/2003 Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$ 330.00

Complete if Known

Application Number 09/176,077
Filing Date October 20, 1998
First Named Inventor R. A. Green
Examiner Name William Bashore
Art Unit 2176
Attorney Docket No. CA9-98-038

METHOD OF PAYMENT (check all that apply)

☐ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None

☒ Deposit Account:

Deposit
Account
Number
Deposit
Account
Name

09-0461

IBM Corporation

The Director is authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☒ Credit any overpayments

☒ Charge any additional fee(s) or any underpayment of fee(s)

☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account

FEE CALCULATION

1. BASIC FILING FEE

Large Entry Fee Code (\$)	Small Entry Fee Code (\$)	Fee Description	Fee Paid
1001 770	2001 385	Utility filing fee	
1002 340	2002 170	Design filing fee	
1003 530	2003 265	Plant filing fee	
1004 770	2004 385	Reissue filing fee	
1005 160	2005 80	Provisional filing fee	
SUBTOTAL (1) (\$)			

2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Total Claims	Extra Claims	Fee from below	Fee Paid
Independent Claims	-20- =	X	
Multiple Dependent	-3- =	X	

Large Entry		Small Entry		Fee Description
Fee Code	Fee (\$)	Fee Code	Fee (\$)	
1202	18	2202	9	Claims in excess of 20
1201	86	2201	43	Independent claims in excess of 3
1203	290	2203	145	Multiple dependent claim, if not paid
1204	86	2204	43	Reissue independent claims over original patent
1205	18	2205	9	Reissue claims in excess of 20 and over original patent

*or number previously paid, if greater. For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entry Fee Code (\$)	Small Entry Fee Code (\$)	Fee Description	Fee Paid
1051 130	2051 65	Surcharge - late filing fee or oath	
1052 50	2052 25	Surcharge - late provisional filing fee or cover sheet	
1053 130	2053 130	Non-English specification	
1812 2,520	2812 2,520	For filing a request for ex parte reexamination	
1804 920*	2804 920*	Requesting publication of SIR prior to Examiner action	
1805 1,840*	2805 1,840*	Requesting publication of SIR after Examiner action	
1251 110	2251 55	Extension for reply within first month	
1252 420	2252 210	Extension for reply within second month	
1253 950	2253 475	Extension for reply within third month	
1254 1,480	2254 740	Extension for reply within fourth month	
1255 2,010	2255 1,005	Extension for reply within fifth month	
1401 330	2401 165	Notice of Appeal	
1402 330	2402 165	Filing a brief in support of an appeal	330.00
1403 290	2403 145	Request for oral hearing	
1451 1,510	2451 1,510	Petition to institute a public use proceeding	
1452 110	2452 55	Petition to revive - unavoidable	
1453 1,330	2453 665	Petition to revive - unintentional	
1501 1,330	2501 665	Utility issue fee (or reissue)	
1502 480	2502 240	Design issue fee	
1503 640	2503 320	Plant issue fee	
1460 130	2460 130	Petitions to the Commissioner	
1807 50	2807 50	Processing fee under 37 CFR 1.17(q)	
1806 180	2806 180	Submission of Information Disclosure Stmt	
8021 40	28021 40	Recording each patent assignment per property (times number of properties)	
1809 770	2809 385	Filing a submission after final rejection (37 CFR 1.129(a))	
1810 770	2810 385	For each additional invention to be examined (37 CFR 1.129(b))	
1801 770	2801 385	Request for Continued Examination (RCE)	
1802 900	2802 900	Request for expedited examination of a design application	

Other fee (specify)

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ 330.00

SUBMITTED BY

Name (Print/Type)

Robert A. Venglar

Signature

Registration No
(Attorney/Agent)

47,159

(Complete if applicable)

Telephone 512-370-2832

Date

May 19, 2004

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